

Indoor Air Quality in K-12 Schools

Layered Risk (Dose) Reduction Amidst COVID-19



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Schools & School Environments Matter

- 1 in 5 Americans in schools each workday
- \approx 58 M students (K-12: 1.6 to 1.8 yr *inside* schools)
 - Mental, social, physical development
 - Performance, illness, absence
- \approx 3.7 M teachers + similar support staff
 - Teachers; elevated work-related respiratory problems
Tak, S., et al. *Journal of School Health*, 2011.
- $>$ \$13,000/student-year US Census Bureau (2020), NEA (2020), educationdata.org



Some Fundamentals

The Basics



Sources of Emissions

- Breathing
- Speaking
- Singing
- Coughing
- *Flushing?*
- *Resuspending?*



- Virus not naked (embedded in particles)
- Particles = combo of mucous & saliva
- Small fraction of viruses infectious



Particles & Viruses

Particle size important

- Deposition onto indoor surfaces
- Removal in filters / masks
- Deposition in respiratory system
 - How much and where

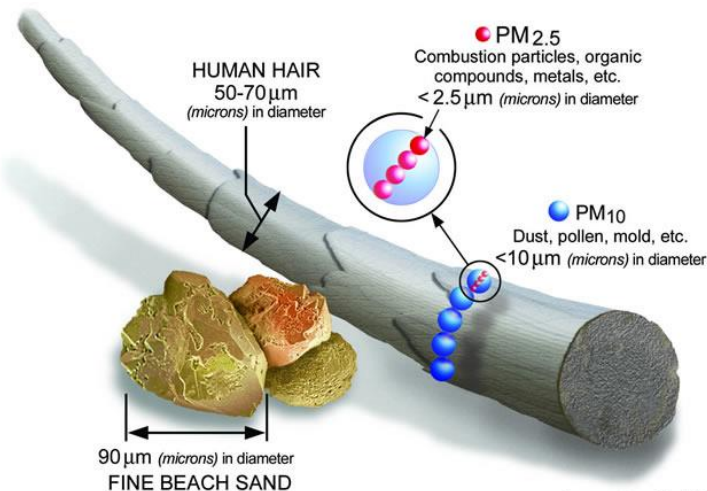
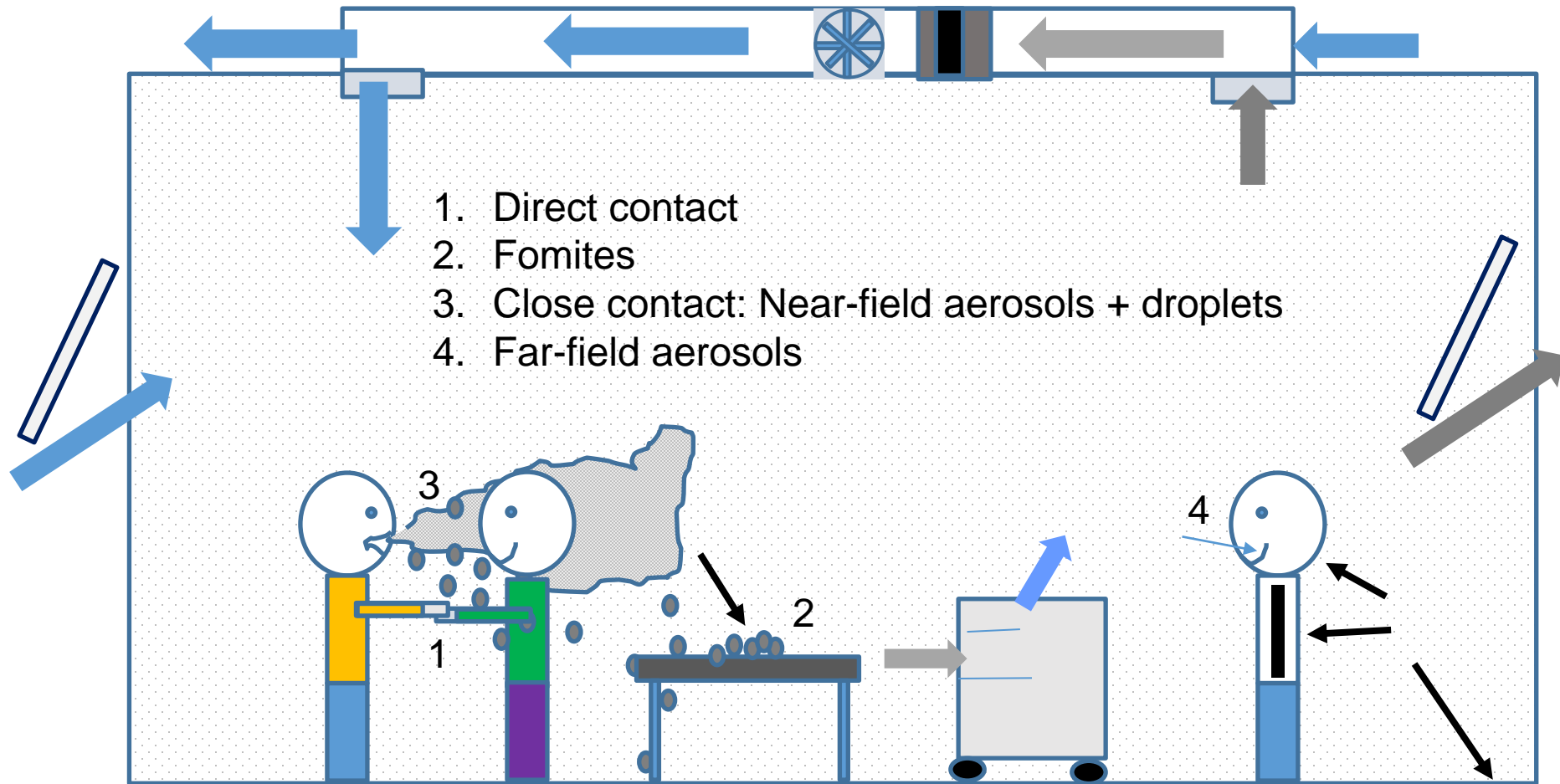


Image courtesy of the U.S. EPA

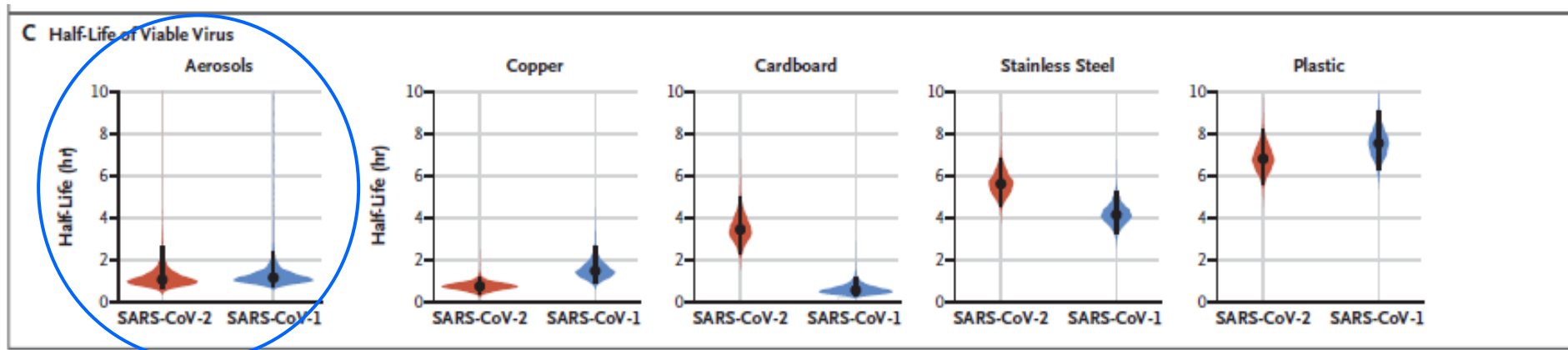
- Virus $\approx 0.12 \mu\text{m}$ diameter
- Embedded in particles
- Emitted particles ($< 0.3 - 200 \mu\text{m}$)
- Particle diameter $>$ virus
- Particle volume \gg virus
- $V_{\text{part}}/V_{\text{virus}}$
 - $1 \mu\text{m}$ 600 x
 - $2.5 \mu\text{m}$ 9,000 x
 - $10 \mu\text{m}$ 580,000 x



Exposure Pathways & Fate

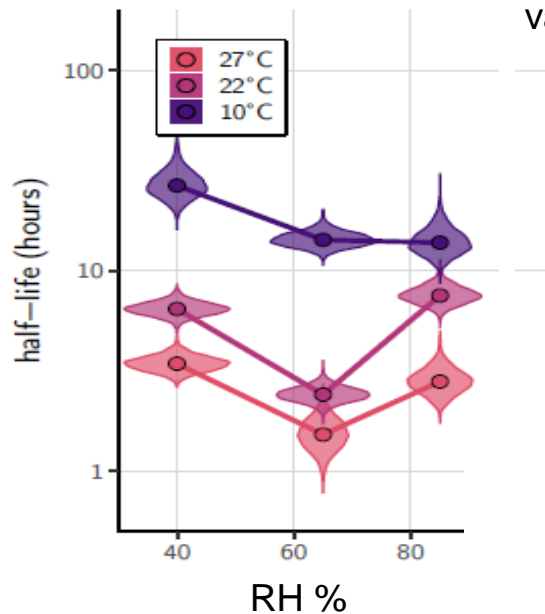


Inactivation of SARS-CoV-2 in Aerosol Particles



van Doremalen, et al., NEJM, March 17, 2020

> Fears, A.C., et al.



Morris, D.H., et al., bioRxiv, posted October 16, 2020.

<https://doi.org/10.1101/2020.10.16.341883>

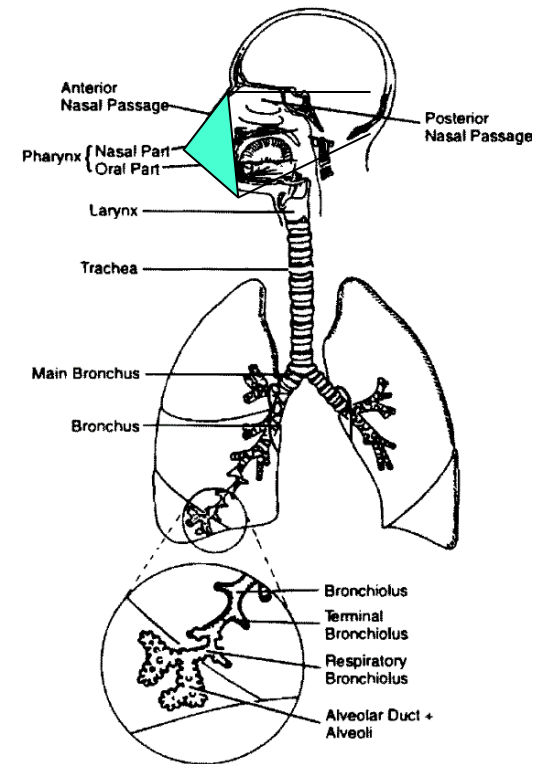
- Inactivation rate in aerosol particles
 - < ventilation + filtration + deposition
 - Assume no inactivation (safety factor)
- Lower RH = shift to smaller particles
 - Less deposition to indoor surfaces
 - Deeper into respiratory system



Inhaled Deposited Dose

$$\text{Dose}_{\text{inhal},i} = C_i \text{ (\#/L)} \times B \text{ (L/min)} \times t \text{ (min)} \times f_{\text{dep},i}$$

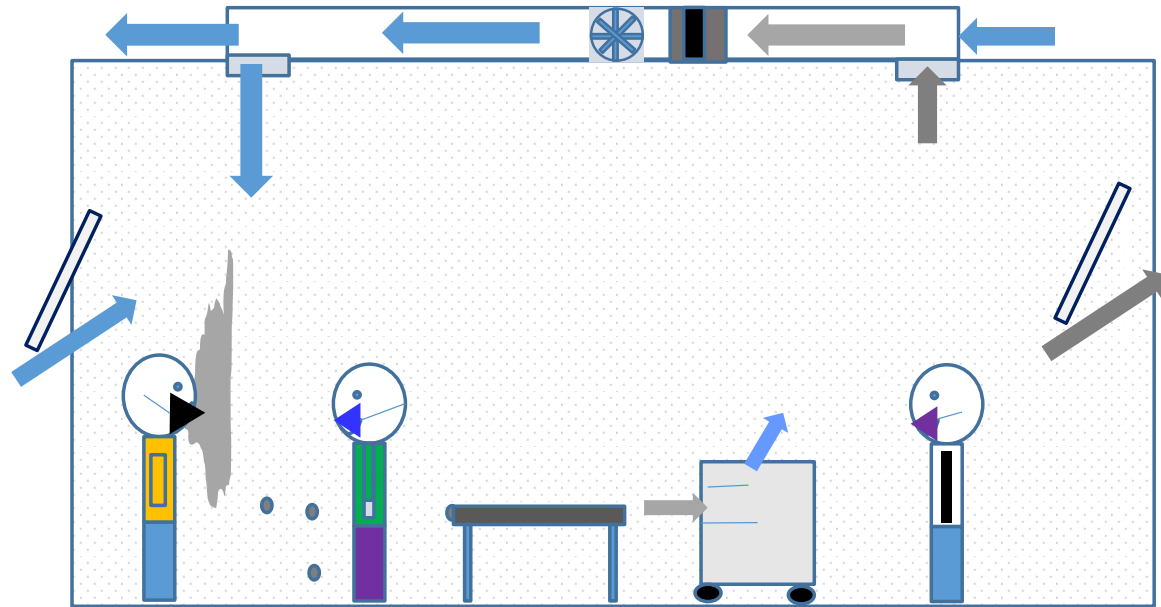
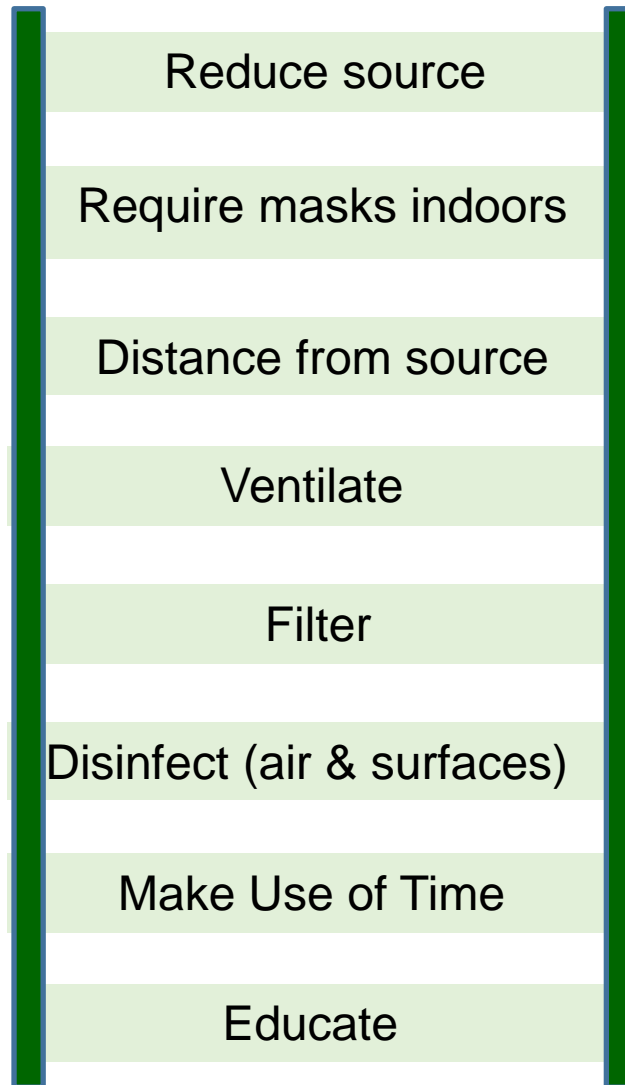
- **C_i = concentration of particles of size i**
 - emissions; mask; ventilation; control; deposition
 - time infector is in space
- **B = Respiratory minute volume**
 - activity (can vary significantly)
- **t = Time in space with an infector**
- **$f_{\text{dep},i}$ = Deposition of particles** of size i in resp
 - particle size; breathing mode; activity; (location)



Convert # deposited to volume



Layered Risk (Dose) Reduction Strategy (LRRS)



- LRRS can lead to dose reduction $> 95\%$



Reduce Source

“If there is a pile of manure in a space, do not try to remove the odor by ventilation.
Remove the pile of manure.” - **Max von Pettenkofer** (1858)

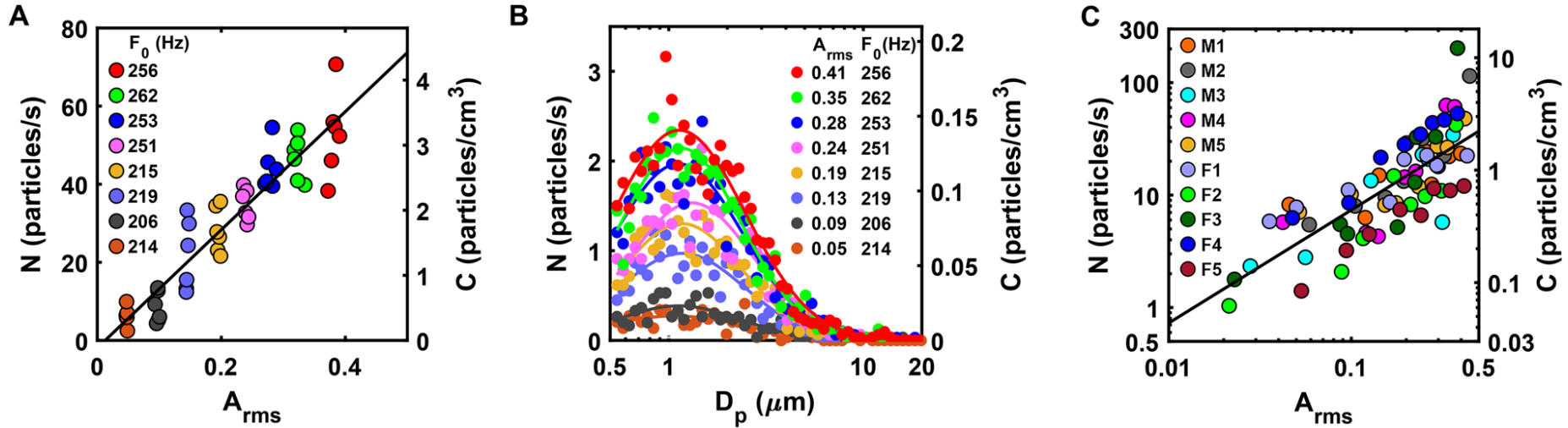
$$\text{Dose}_{\text{inhal},i} = \mathbf{C}_i \downarrow (\#/L) \times \mathbf{B} (L/\text{min}) \times \mathbf{t} (\text{min}) \times \mathbf{f}_{\text{dep},i}$$



- Test & isolate
- Require masks (for all)
- De-densify (less occupants; innovate)
- Eliminate certain activities (singing, aerobics)
- Reduce speaking to extent possible



Reduce Source: Speaking

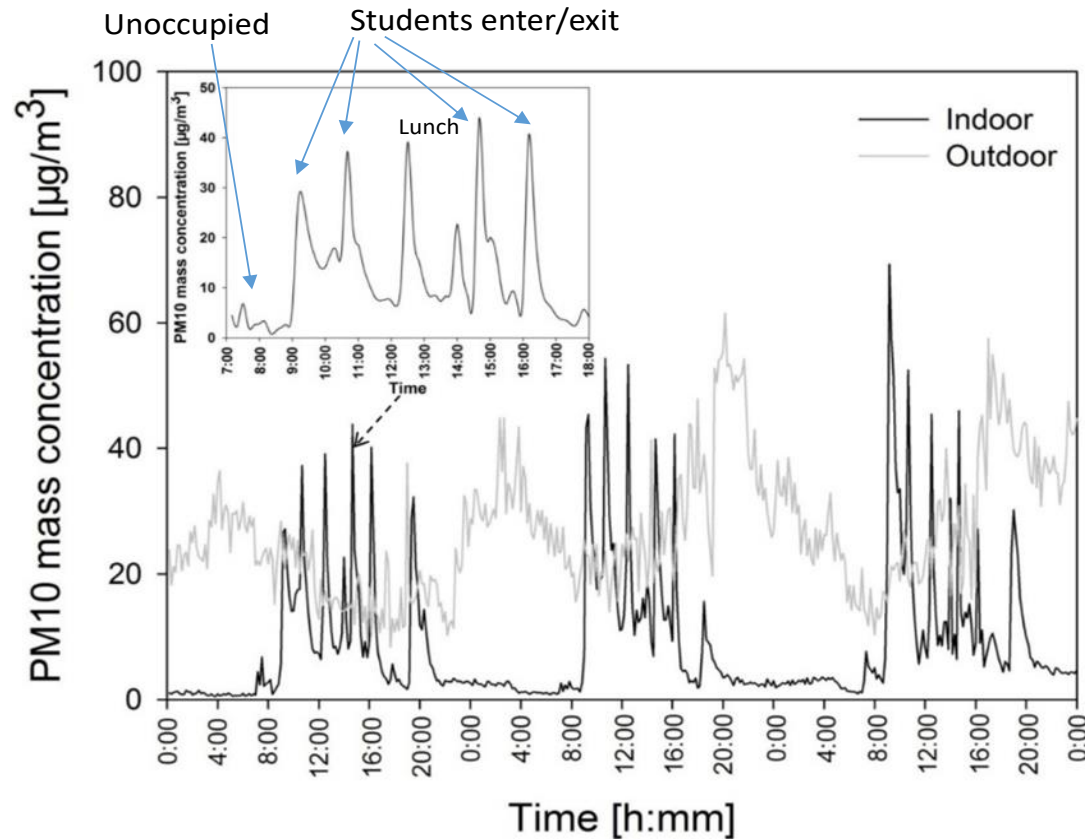


Asadi, S. et al. *Scientific Reports*, 9:2348 (2019) doi.org/10.1038/s41598-019-38808-z

- Breathing \approx order of magnitude lower than average speaking



Possible Source: Resuspension of Particles



Ren, J. et al. *Building & Environment* (accepted)

Re-suspension as source: VCT < Carpet

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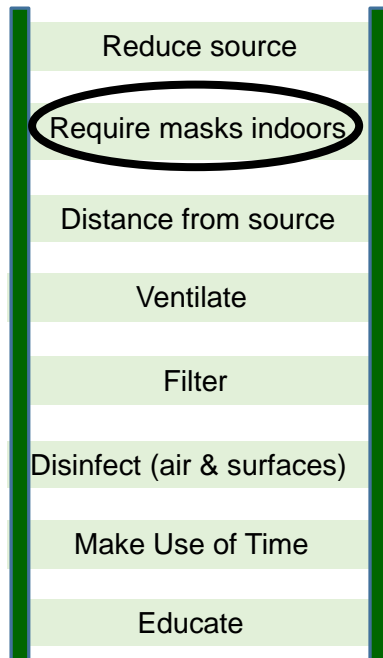
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Require Masks

$$\text{Dose}_{\text{inhal},i} = C_i \downarrow (\#/L) \times B \text{ (L/min)} \times t \text{ (min)} \times f_{\text{dep},i}$$

- Universal mask wearing to capture infector
- Dual benefits
 - 30% (I) & 30% (R) = 51% dose reduction
 - 60% x 60% = 84% risk reduction

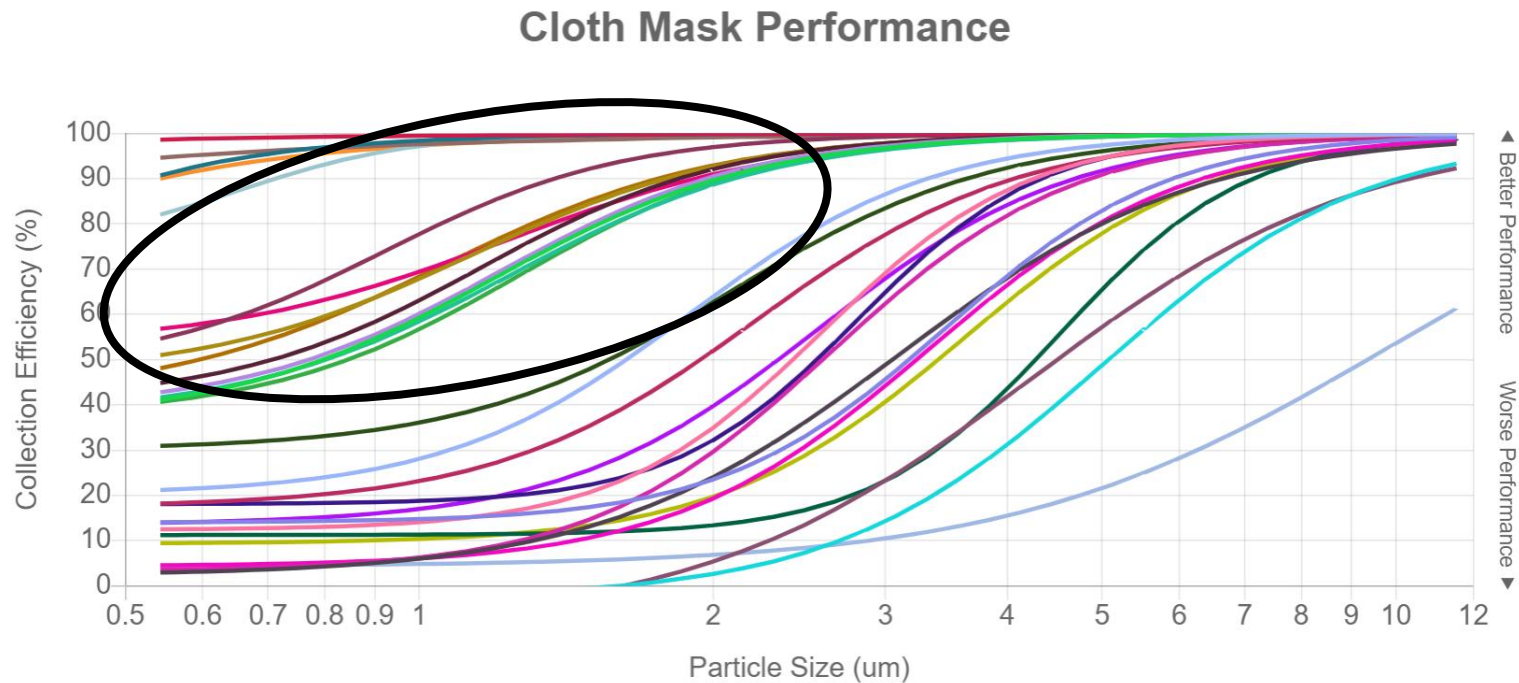


Problem = all masks off, e.g., lunch

- Outdoors if possible
- Quiet lunch (only teacher speaks)
- Rotating pods (teams) for mask off
- Mask down, eat, Mask up, next team up!



Cloth Mask Performance



- Performance = strong function of material(s) & fit
- Particle size dependent
- Nice resource
- Select materials (includes data on breathability)

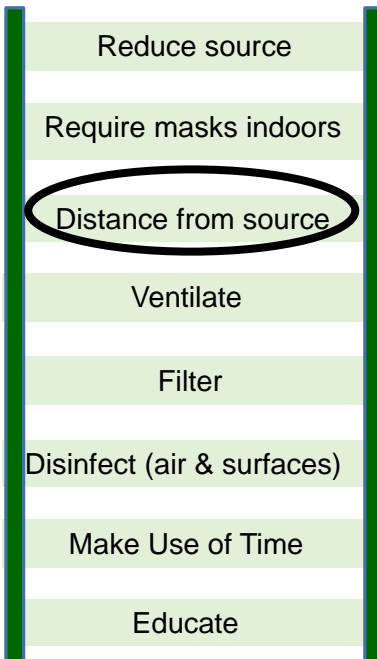
<http://jv.colostate.edu/masktesting/>

Drs. John Volcken & Christian L'Orange



Distance from Source (everyone)

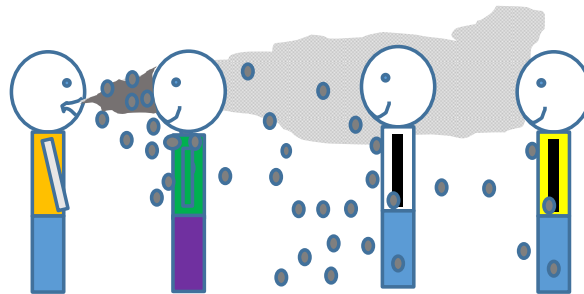
$$\text{Dose}_{\text{inhal},i} = \underset{\downarrow}{C_i} (\#/L) \times B \text{ (L/min)} \times t \text{ (min)} \times f_{\text{dep},i}$$



Horizontal distance traveled to settle 1.5 m
At free-stream air speed of 5 cm/s

d_p (μm)	t (1.5 m)	x (m)
0.5	56 hr	10000
1	14 hr	2500
5	33 min	100
10	8 min	25
20	2 min	6
50	20 sec	1

50 -100 μm particles can travel > 6 ft (jet)



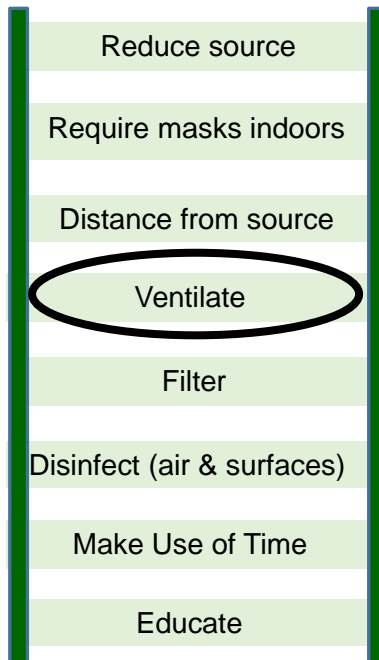
Distancing?

- With masks
- Without masks
- Age / grades



Ventilate

$$\text{Dose}_{\text{inhal},i} = \underset{\downarrow}{C_i} (\#/L) \times B \text{ (L/min)} \times t \text{ (min)} \times f_{\text{dep},i}$$



- Best = outdoors
- Mechanical (controlled)
- Natural (design openings)
- Infiltration



<https://www.nytimes.com/2020/07/17/nyregion/coronavirus-nyc-schools-reopening-outdoors.html>



Ventilate

ASHRAE 62.1- 2019 *Ventilation for Acceptable Indoor Air Quality (Pre-COVID)*

5 L/s-person; 0.6 L/s-m²

If 24 students + 1 teacher in 60 m² classroom = $5 \times 25 + 0.6 \times 60 = 161$ L/s

161 L/s = 576 m³/hr; AER = $576 \text{ m}^3/\text{hr} / (60 \text{ m}^2 \times 2.8 \text{ m}) = 3.4/\text{hr}$

ASHRAE Position Document on Infectious Aerosols Approved by ASHRAE Board of Directors - April 14, 2020

The following modifications to building HVAC system operation should be considered:

- Increase outdoor air ventilation (disable demand-controlled ventilation and open outdoor air dampers to 100% as indoor and outdoor conditions permit).
- Additional recommendations on filtration, portable air cleaners, UVGI, T & RH, etc.

https://www.ashrae.org/file%20library/about/position%20documents/pd_infectiousaerosols_2020.pdf



Ventilate

- Many schools under-ventilated or inappropriately ventilated

Absenteeism (Simons et al., *Am. J. Public Health*, 2010)

- Association: under-ventilation & absenteeism
- Strongest association: young students

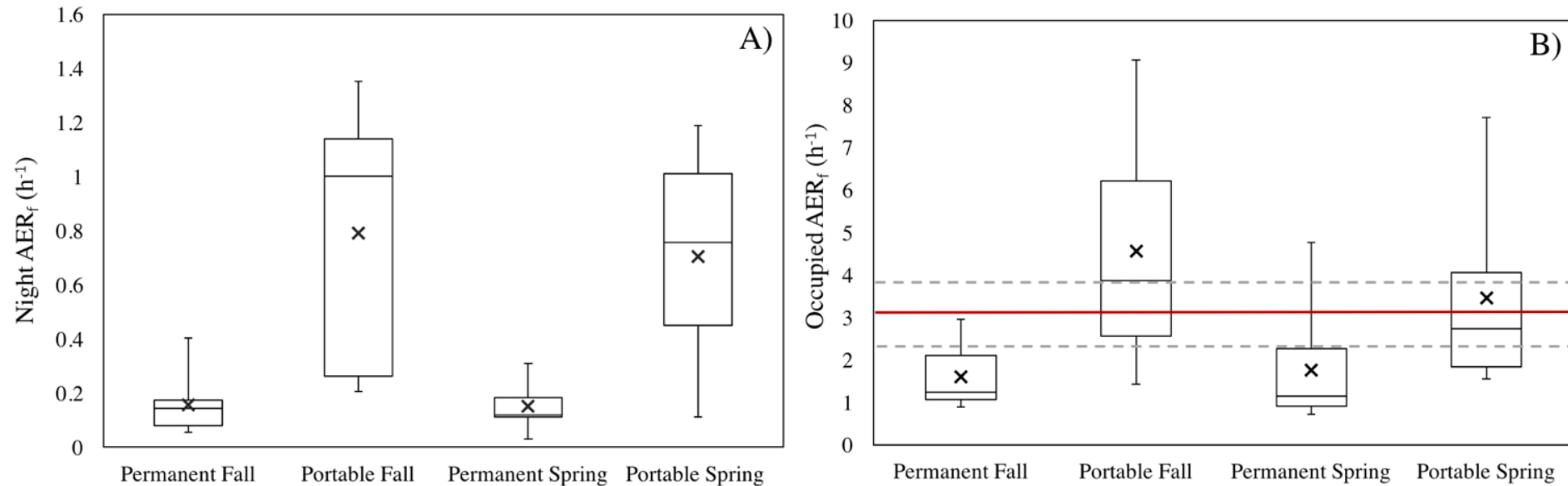
Performance (Haverinen-Shaughnessy et al., *Indoor Air*, 2011)

- 100 southwestern schools/classrooms
- 87% w/ less ventilation than ASHRAE 62.1
- Each 1 L/s-student increase in ventilation:
 - 2.9% increase math; 2.7% read

Ventilation matters (COVID-19 or not)



Air Exchange Rates: Central Texas High Schools



Lesnick, L.A. et al., *ASHRAE Transactions* (2017)

- Permanent classrooms severely under-ventilated (Median < ½ ASHRAE 62.1)
- Generally higher ventilation in portable classrooms (but high variability)
- Portable classrooms – directly connected to outdoors
- Portable classrooms – more natural ventilation opportunities + infiltration



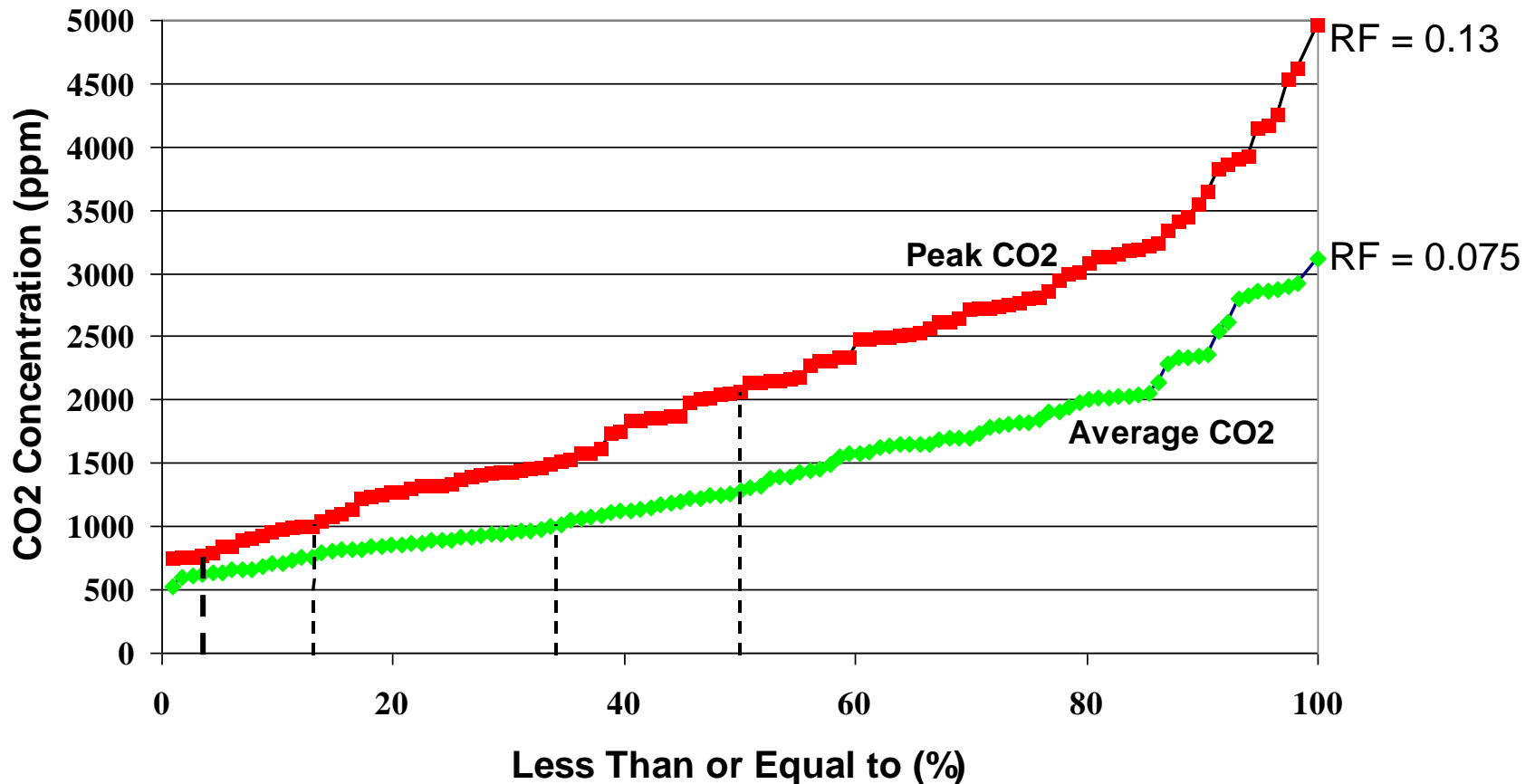
Carbon Dioxide as Surrogate

- **Elevated CO₂ = inadequate ventilation**
- Accumulation of pollutants, body odors
- Productivity decrements
- Increased absences (e.g., Shendell et al., *Indoor Air*, 2004)
 - $\Delta 1,000 \text{ ppm} = 0.5\text{-}0.9\%$ decrease in annual average daily attendance
- Elevated rebreathed fraction $\rightarrow \text{RF} = (\text{CO}_{2,\text{in}} - \text{CO}_{2,\text{out}}) / \text{CO}_{2,\text{breath}}$
- Greater probability of respiratory infections
- Lower CO₂ (or RF): lower occupancy; increased ventilation



CO₂: Cumulative Distributions

115 K-8 classrooms; all day sampling; two school districts

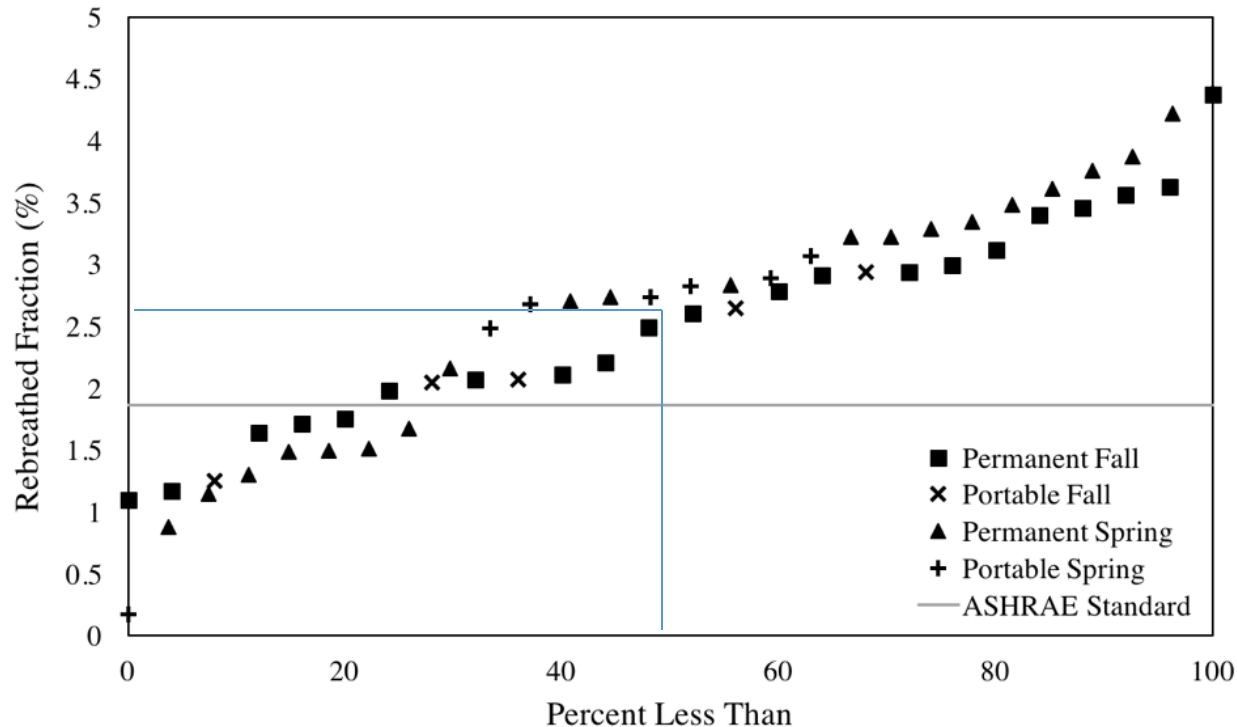


Median average RF = 0.025 (2.5%); Median peak RF = 0.044 (4.4%)

< 15% with average RF < 0.01; < 5% with peak RF < 0.01

Rebreathed Fraction

Central Texas High Schools (Year 1)



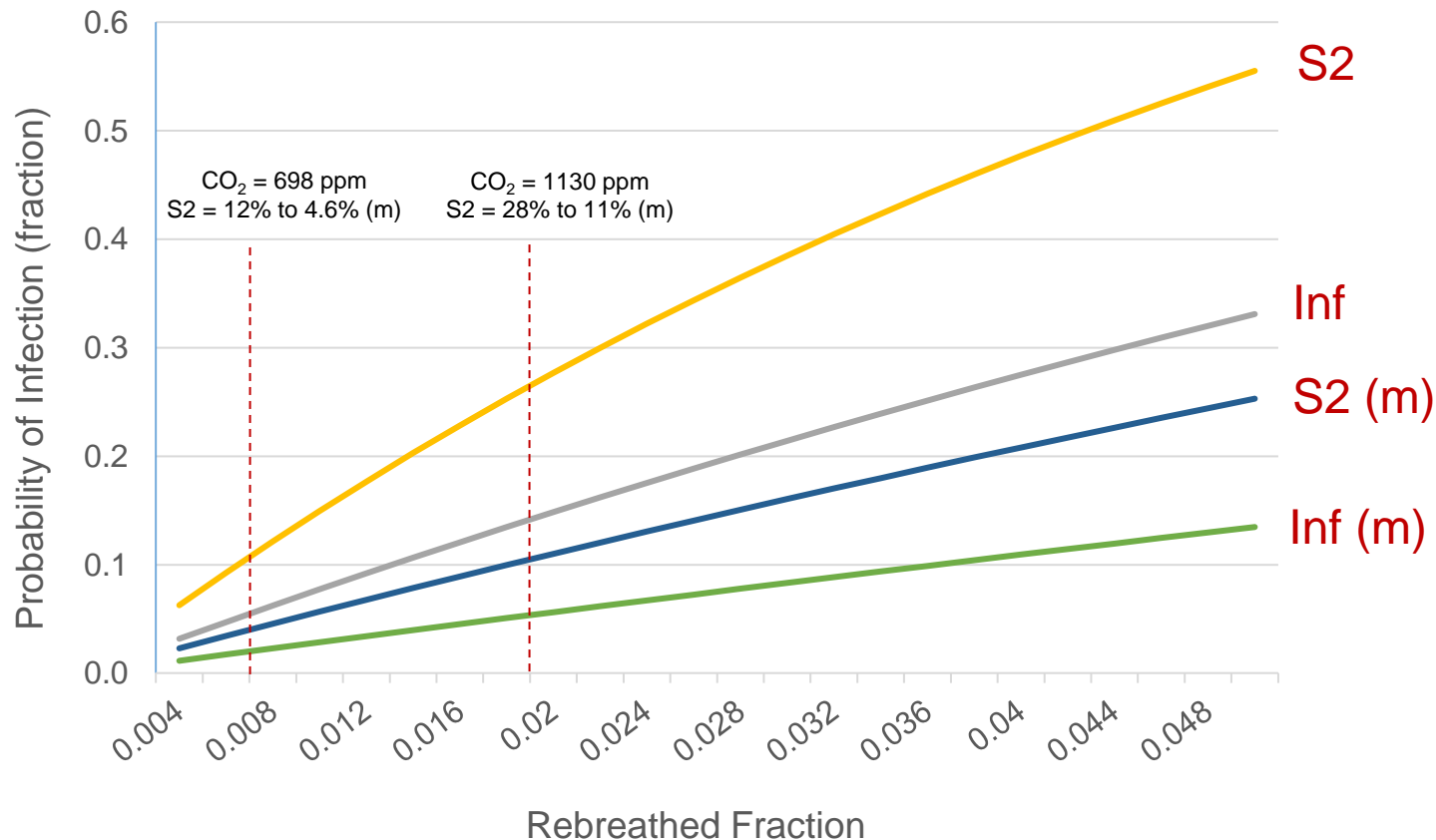
Lesnick, L.A. et al., *ASHRAE Transactions* (2017)

Median RF = 0.025 to 0.027 (2.5 to 2.7%)

Similar to previous K-8 results



Estimates: Probability of Infection



Rudnick-Milton model w/ 1 infector (m = adjusted for masks = 64% dual effectiveness)

Quanta generation rate: 67/hr for influenza; 135/hr for SARS-CoV-2

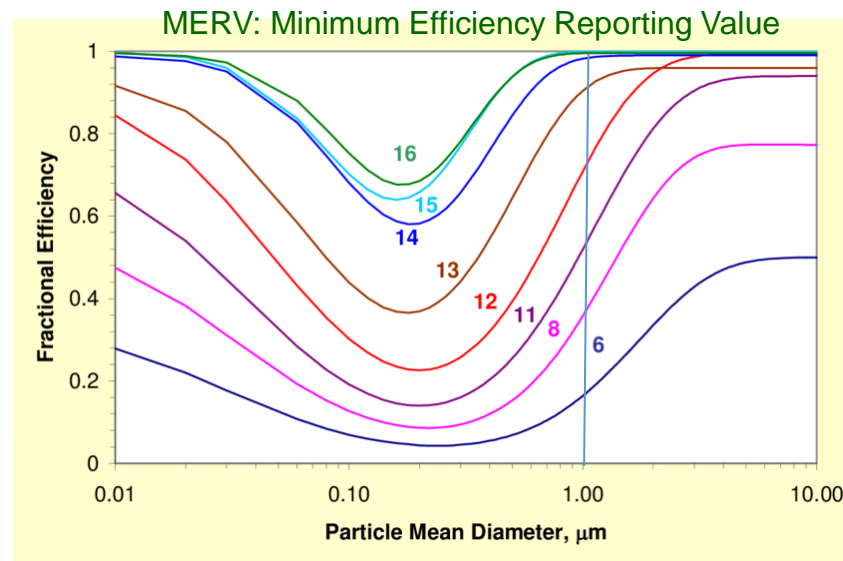
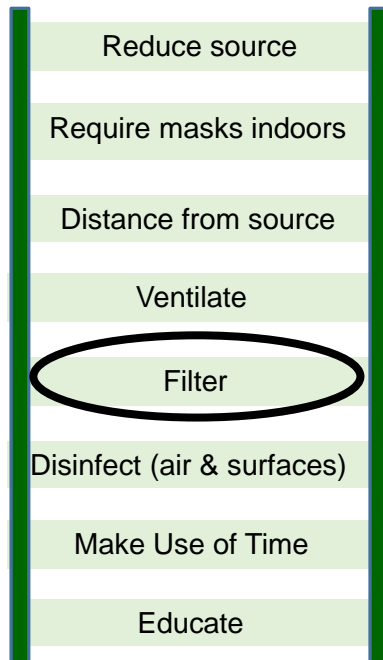


Filter

$$\text{Dose}_{\text{inhal},i} = \mathbf{C_i} \downarrow (\#/\text{L}) \times \mathbf{B} (\text{L}/\text{min}) \times \mathbf{t} (\text{min}) \times \mathbf{f}_{\text{dep},i}$$

“Improve central air and other HVAC filtration to MERV-13 or the highest level achievable.”

ASHRAE Position Document on Infectious Aerosols (2020)



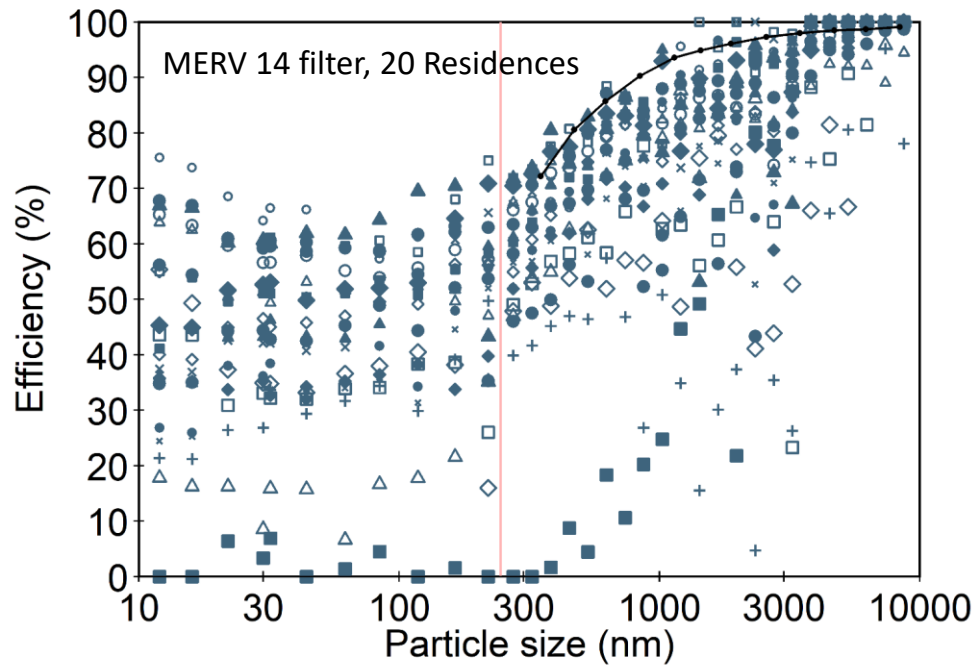
- Theoretical
- Can be worse
- System problems?

Kowalski & Bahnfleth (2002)

https://www.researchgate.net/figure/Composite-of-all-MERV-filter-models-based-on-initial-conditions_fig3_237558312



Theory & Lab \neq Practice



Li and Siegel, *Indoor Air* (2020)

Courtesy of Dr. Jeffrey Siegel, U Toronto



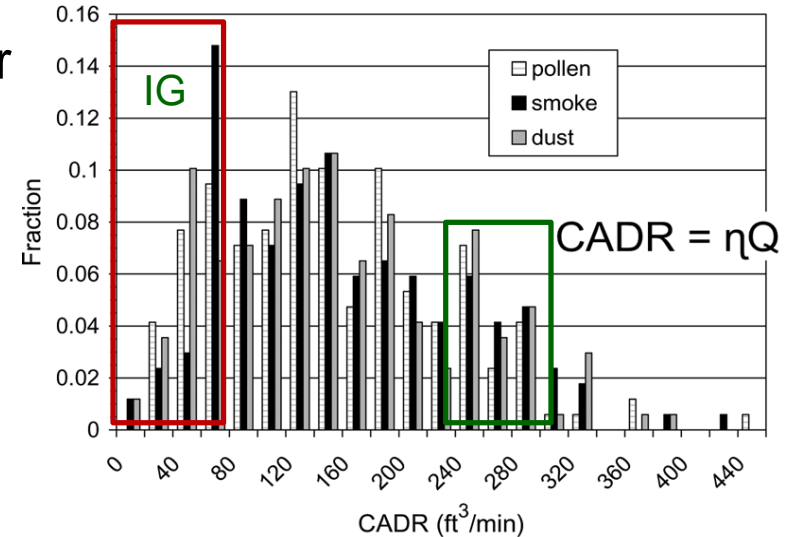
Courtesy of Dr. Atila Novoselac, UT Austin
(not a MERV 13 or 14)

Important to inspect for by-pass



Portable Air Cleaner (PAC)

- Proven: HEPA-based portable air cleaner
- **H**igh **E**fficiency **P**articulate **A**ir
- Key: Clean Air Delivery Rate (CADR)
- $CADR = \eta \times Q$
 - η = single pass removal fraction (-)
 - Q = volumetric flowrate (ft^3/min)
- Example: $\eta = 0.5$; $Q = 500 \text{ ft}^3/\text{min}$
- $CADR = 250 \text{ ft}^3/\text{min}$



Shaughnessy, R.J., and Sextro, R.G., *J of Occupational and Environmental Hygiene*, 3: 169–181(2006)



EPA.gov

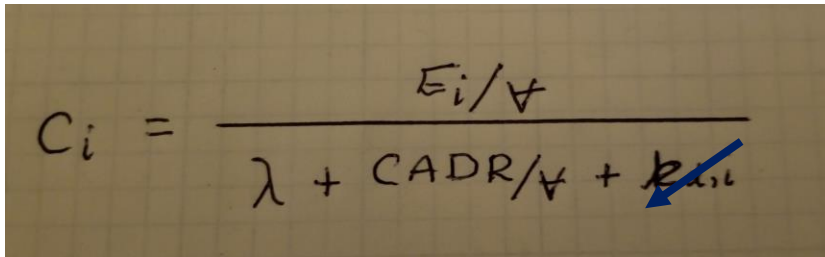


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Portable Air Cleaner (PAC)

- Equivalent air changes per hour = EqACH = **CADR/V**
- Example: $V = 600 \text{ ft}^2 \times 8 \text{ ft} = 4,800 \text{ ft}^3$
- $\text{CADR} = 300 \text{ ft}^3/\text{min}$
- **EqACH** = $300 \text{ ft}^3/\text{min} / 4,800 \text{ ft}^3 = 0.0625/\text{min}$ (or $\times 60 = \mathbf{3.8/\text{hr}}$)



A photograph of a piece of graph paper with a handwritten formula for the steady-state concentration C_i . The formula is
$$C_i = \frac{E_i/V}{\lambda + \text{CADR}/V + \cancel{p_{\text{in}}}}$$
 A blue arrow points to the $\cancel{p_{\text{in}}}$ term, indicating it is to be ignored.

At steady-state

If $\lambda = 2/\text{hr}$

$$2 + 3.8 = 5.8/\text{hr}$$

66% reduction

Add to 64% masks = 88%!



Filter Microbiomes

- Filters have microbiomes (e.g., fungi growth on filter cake)
- Respiratory viruses have been found on filters
- Take precautions when changing filters (central or PAC)
- Do not agitate
- Mask / goggles
- Gloves / hand hygiene
- Bag it

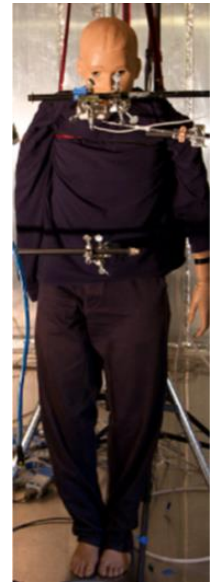
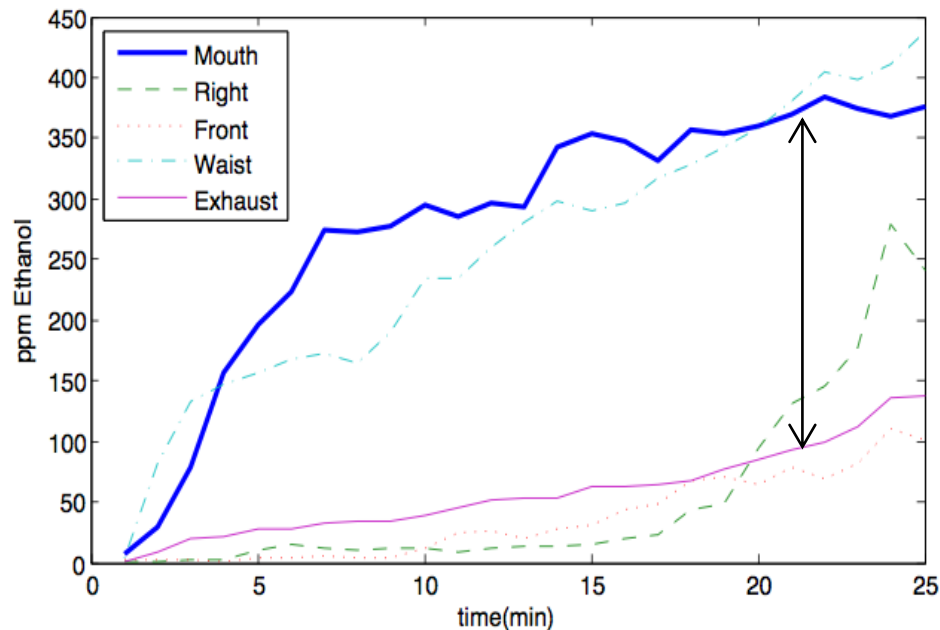
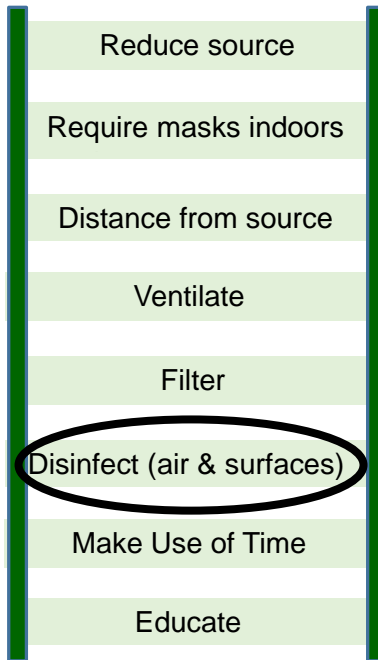


Disinfect (Air & Surfaces)

Air: UVGI (can be very effective if done right)

Surfaces (wide range): residual, reaction by-products, worker exposure

Work-related asthma assoc w/ cleaning products
Rosenman et al., *J. Occup. & Environ. Medicine* (2003)



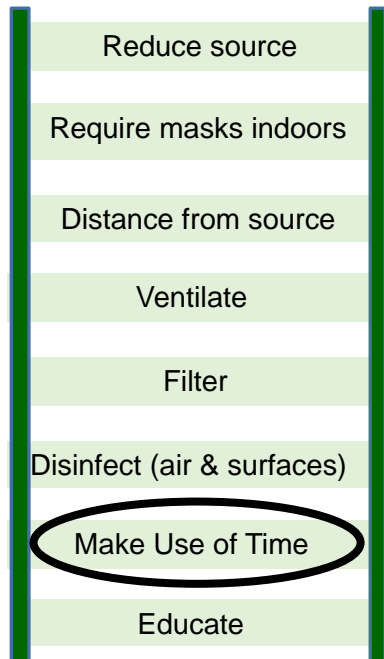
Dissertation: Dr. Clive (Matt) Ernest, UT Austin

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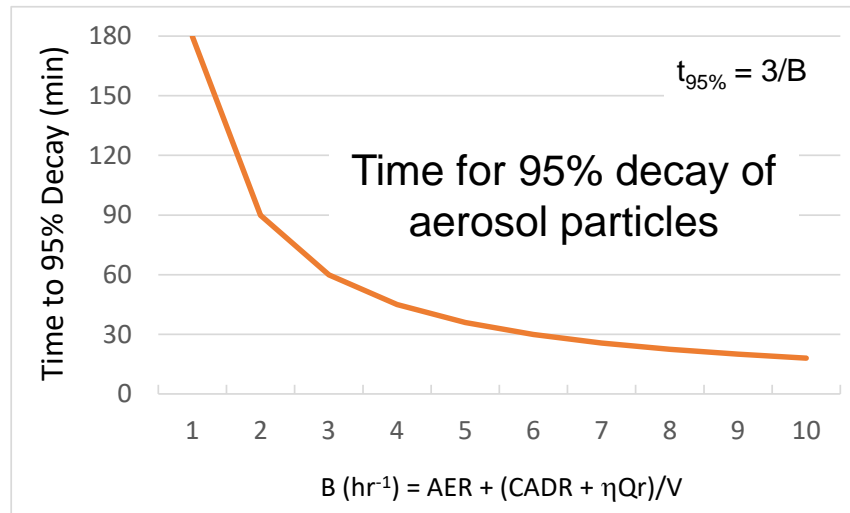
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Make Use of Time

$$\text{Dose}_{\text{inhal},i} = C_i \downarrow (\#/L) \times B \downarrow (L/\text{min}) \times t \downarrow (\text{min}) \times f_{\text{dep},i}$$



- Reduce continuous time indoors
- Reduce time w/ mask down at lunch
- Outdoor calm time after physical activity
- Classroom particle decay periods



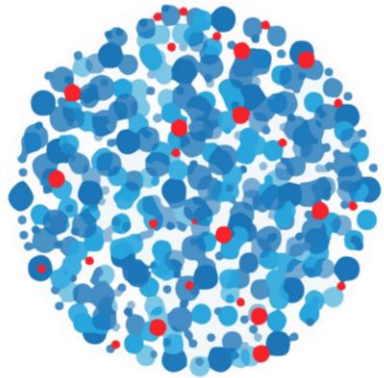
Educate



- Entire school community
 - Admin, teachers, staff, students, parents
- Target modes of communication
 - People absorb differently
- English & Spanish
- Make use of existing tools – explore & educate
 - Slides added to end of presentation



SAFE AIR SPACES COVID-19 Risk Estimator



The SAFEAIRSPACES
COVID-19 Aerosol
Relative Risk
Estimator

Estimate Your Risk

Joint effort between
U of Oregon & Portland State

www.safeairspaces.com

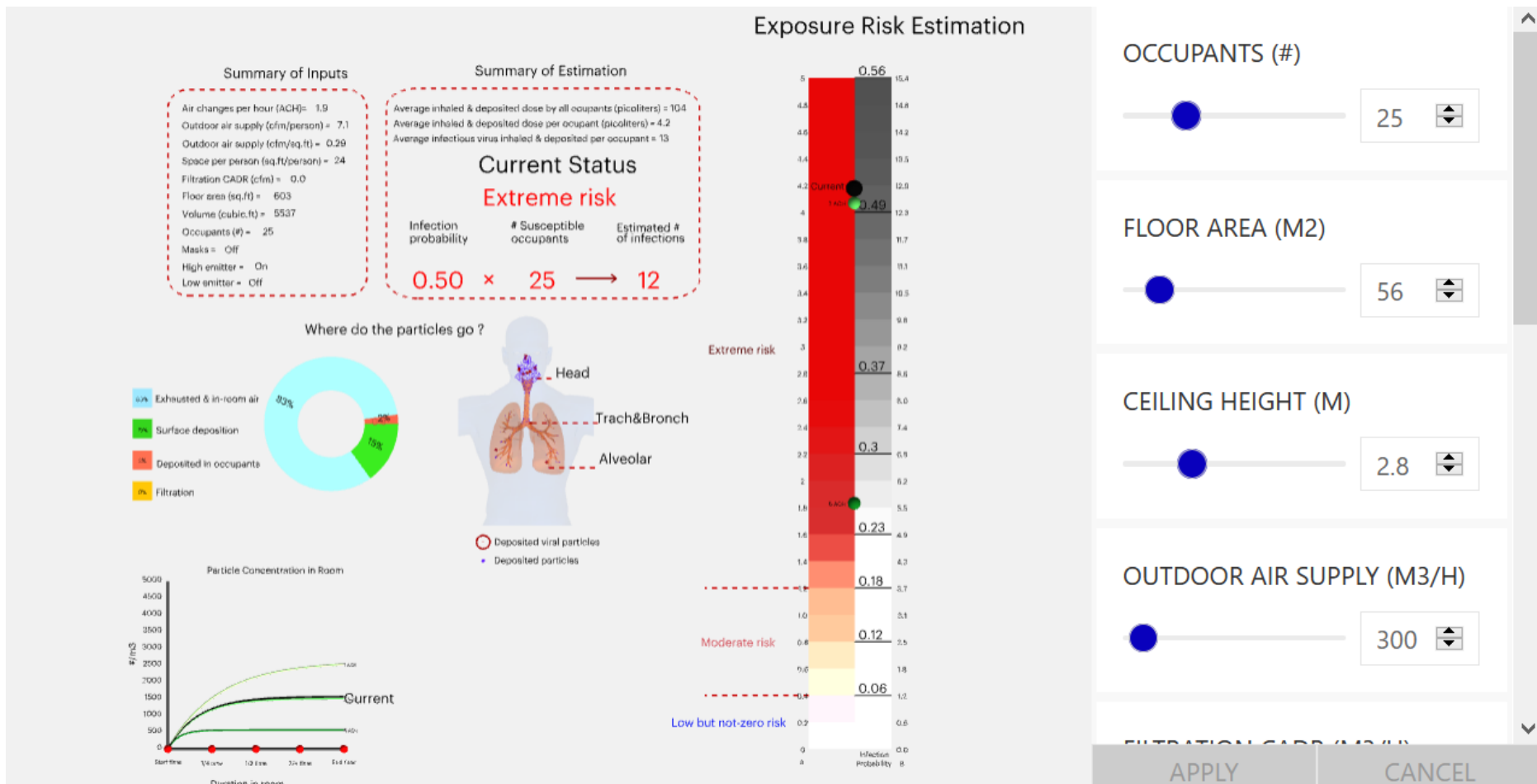
- Educational tool (layered risk reduction)
- Respiratory deposition & risk
- **Factors:** emissions, surface deposition, ventilation, filtration, masks, time in space, area & height
- Single zone (multiple coming)
- Far-field (working on near-field)
- Adaptable

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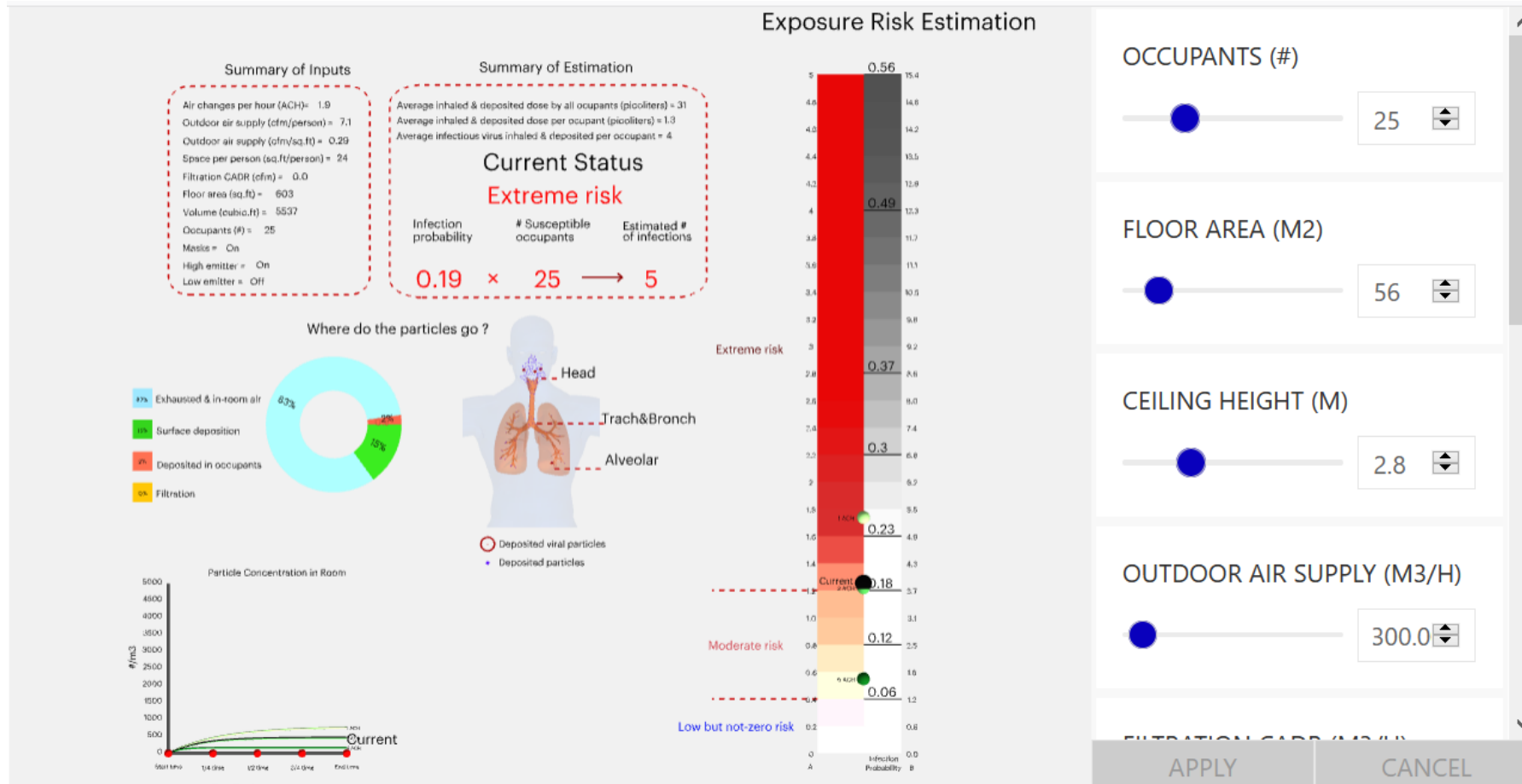
Scenario 1 – No Masks & Under-Ventilated



No masks; < ASHRAE 62.1; No filtration; High emitter; 2.5 hr exposure



Scenario 2 – Masks & Under-Ventilated



Masks for all; < ASHRAE 62.1; No filtration; High emitter; 2.5 hr exposure

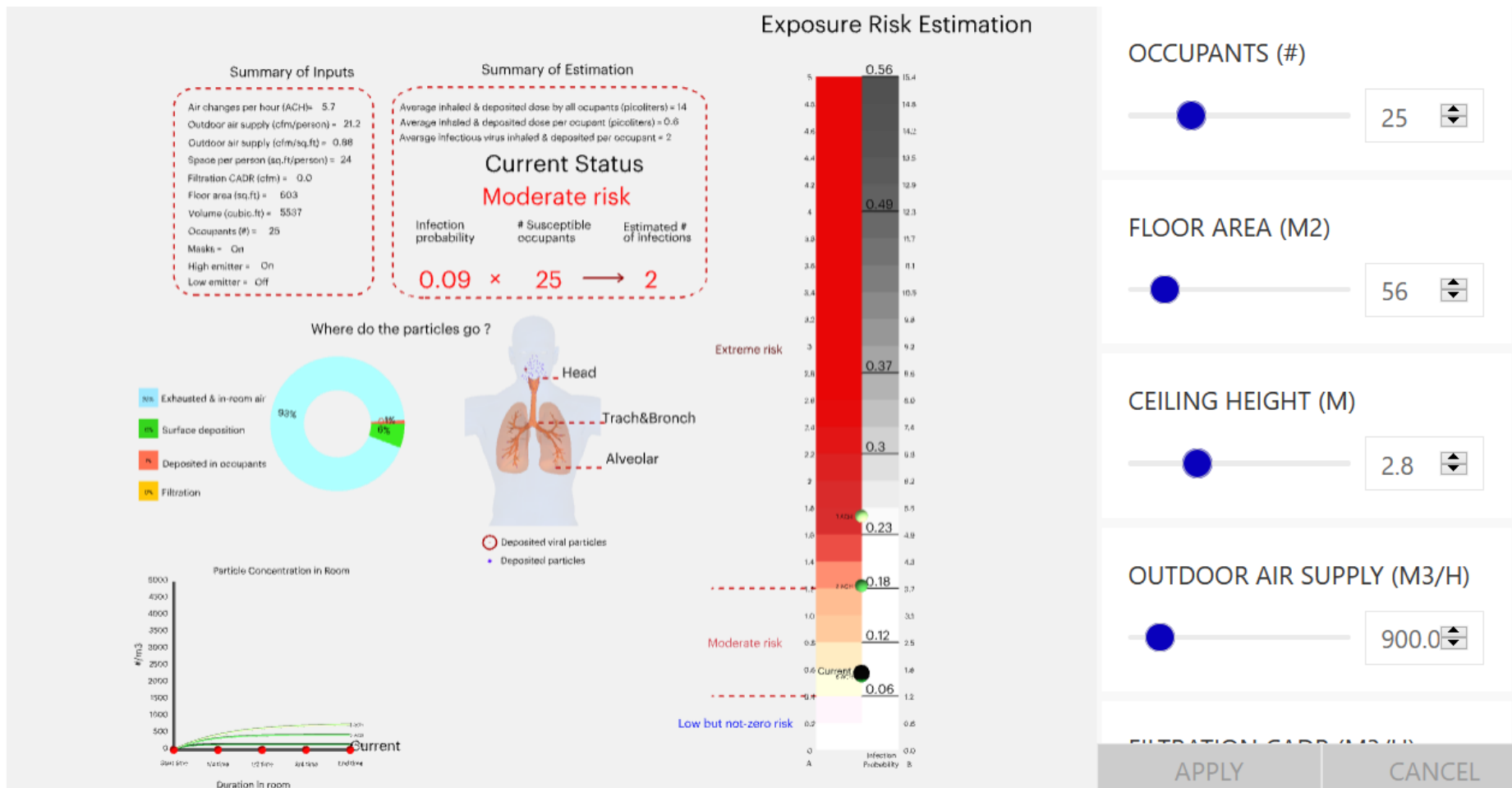
Risk Reduction = 62%

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Scenario 3 – Masks + Increased Ventilation



Masks for all; > ASHRAE 62.1; No filtration; High emitter; 2.5 hr exposure

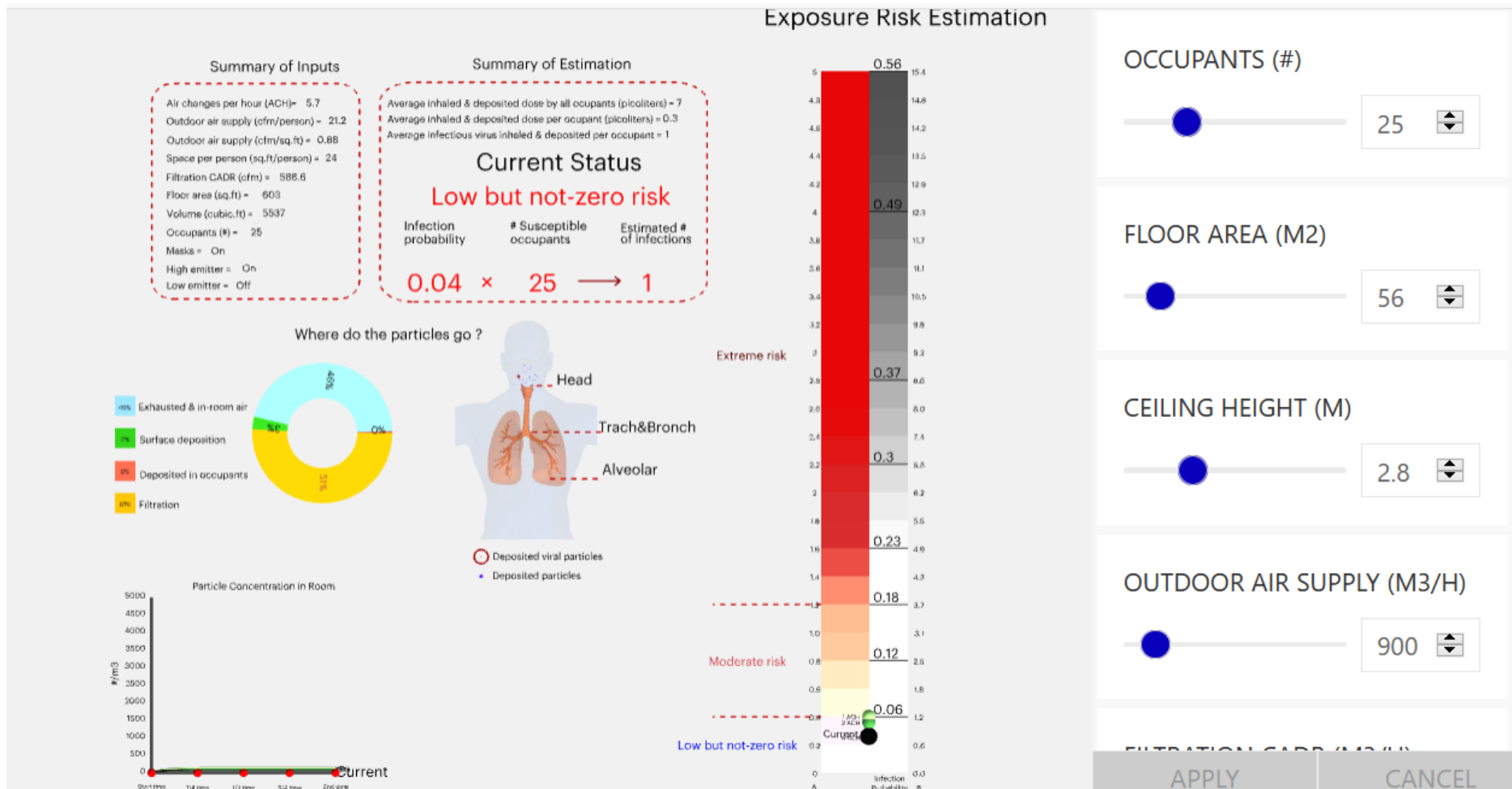
Risk Reduction = 82%

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Scenario 4 – Masks + Increased Ventilation + Filtration + Outdoor Mask Break (20 min)



Masks for all; > ASHRAE 62.1; Filtration; High emitter; 2.5 hr exposure

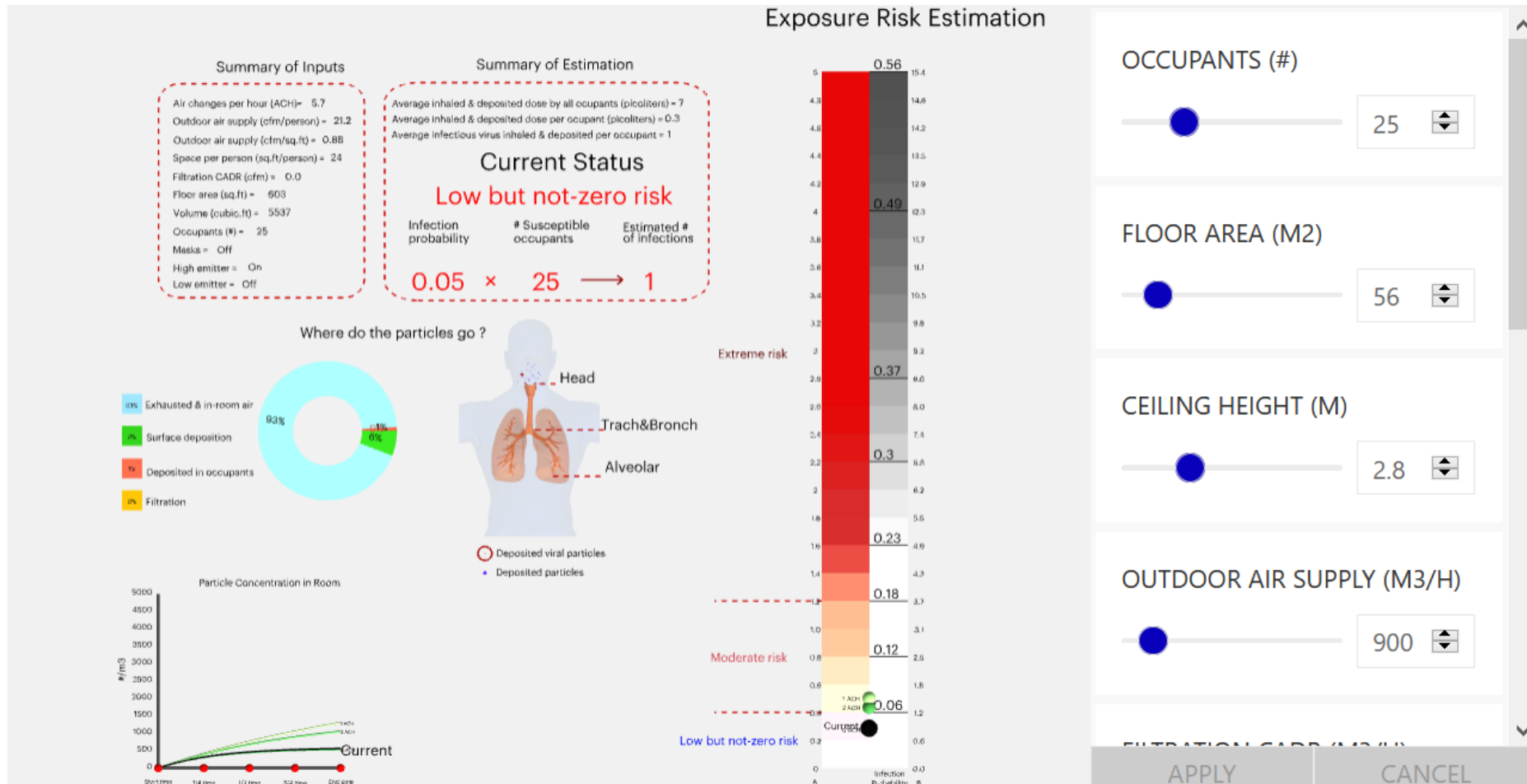
Risk Reduction = 92%

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Scenario 5 – 30 Minute Lunch w/o Masks



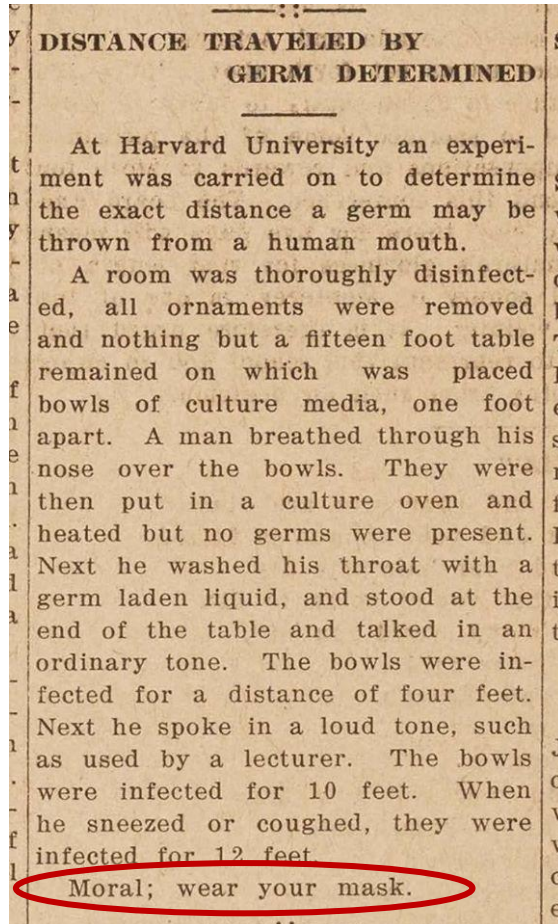
Lunch in classroom: No Masks; > ASHRAE 62.1; No Filtration; High emitter; 0.5 hr
Relatively low risk in far field; near field (close contact) likely larger risk for scenario

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Closure



- Schools critical for EVERYBODY
- Multiple benefits for children
- Need schools to be safe as possible
- Layered dose (risk) reduction works
- Use tools to educate and plan*

* Understand that estimation tools are valuable to show trends, relative risks, & not necessarily exact numbers



Acknowledgements

- International Society of Indoor Air Quality And Climate (ISIAQ)
- American Industrial Hygiene Association (AIHA)
- United States Environmental Protection Agency (USEPA)

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My Executive Assistant for help with logistics: Brandi Cobb (Portland State)



Some Additional Resources & Tools

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ASHRAE Epidemic Task Force - Schools



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- [Startup Checklist for HVAC Systems Prior to Occupancy](#)

[Equipment & System Specific Checks & Verifications During Academic Year](#)

- [Cleaning & Air Flush](#)
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- [Chilled, Hot & Condenser Water Systems](#)
- [Air Cooled Chillers](#)
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- [Cooling Towers & Evaporative-Cooled Devices](#)
- [Steam Distribution Systems](#)
- [HVAC Water Distribution Systems](#)
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<https://www.ashrae.org/file%20library/technical%20resources/covid-19/ashrae-reopening-schools-and-universities-c19-guidance.pdf>

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Creating Healthy Indoor Air Quality in Schools

Promote a healthy learning environment at your school to reduce absenteeism, improve test scores and enhance student and staff productivity.

[EPA Supports Healthy Indoor Environments in Schools During COVID-19 Pandemic](#)

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- [Take Action to Improve IAQ in Schools](#)
- [Framework for Healthy Indoor Environments](#)

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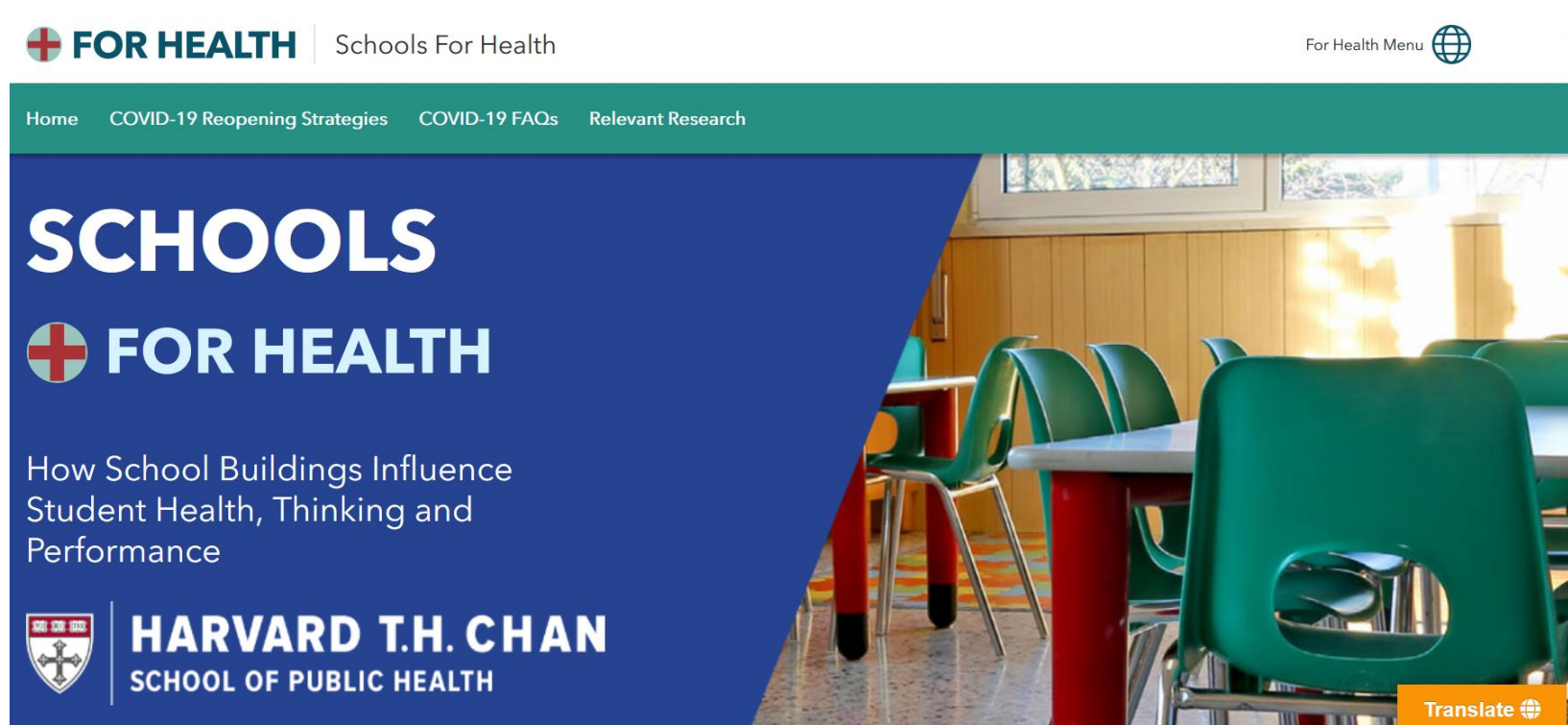
<https://www.epa.gov/iaq-schools>

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Dean, Maseeh College of Engineering & Computer Science, Portland State University



Harvard T.H. Chan School of Public Health



<https://schools.forhealth.org>



AIHA – Reopening Guidance



AIHATM

HEALTHIER WORKPLACES | A HEALTHIER WORLD

Reopening: Guidance for Schools (K-12)

https://aiha-assets.sfo2.digitaloceanspaces.com/AIHA/resources/Reopening-Guidance-for-Schools-K-12_GuidanceDocument.pdf

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FATIMA Model (NIST)

NIST

Search NIST



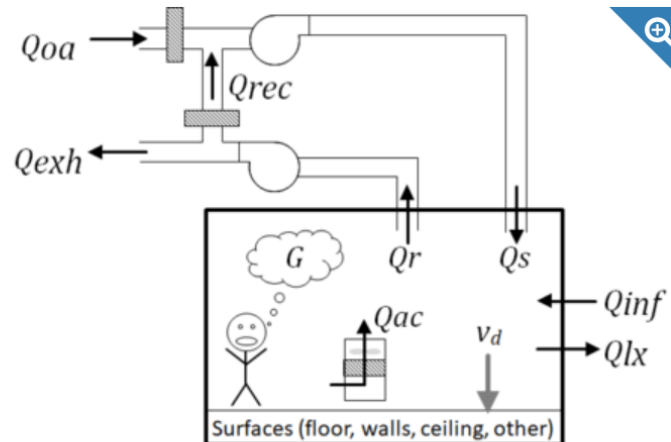
Menu

SOFTWARE

FaTIMA

The web-based tool *Fate and Transport of Indoor Microbiological Aerosols* (FaTIMA) allows for the determination of the indoor fate of microbiological aerosols associated with ventilation, filtration, deposition and inactivation mechanisms. FaTIMA provides a representation of a single, well-mixed zone that is served by a mechanical ventilation system and incorporates particle source and removal mechanisms. The simple mechanical ventilation system model

[/www.nist.gov/sites/default/files/images/2020/05/12/FaTIMA.png](https://www.nist.gov/sites/default/files/images/2020/05/12/FaTIMA.png)



Type of Software

Web Application

Last Updated

2020-09-04

NIST Author

[William Stuart Dols](#)

[Brian Polidoro](#)


<https://www.nist.gov/services-resources/software/fatima>

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CU Boulder Aerosol Transmission Estimator



Aerosol Transmission Estimator

<http://tinyurl.com/covid-estimator>

A	B	C	D	E	F	G
Estimation of COVID-19 aerosol transmission: master spreadsheet, adapt this one to your case - Default values are for						
This is a general spreadsheet applicable to any situation, under the assumptions of this model - See notes specific to this case (if applicable) at the very bottom						
Important inputs as highlighted in orange - change these for your situation						
Other, more specialized inputs are highlighted in yellow - change only for more advanced applications						
Calculations are not highlighted - don't change these unless you are sure you know what you are doing						
Results are in blue -- these are the numbers of interest for most people						
Environmental Parameters						
	Value			Value in other units	Source / Comments	
Length of room	25 ft			7.6 m	Can enter as ft or as m (once entered as m, changing in ft does	
Width of room	20 ft	=		6.1 m	Can enter as ft or as m (once entered as m, changing in ft does	
	500 sq ft			47 m ²	Can overwrite the m ² one. If you want to enter sq ft, enter "=B1	
Height	10 ft	=		3.1 m	Can enter as ft or as m (once entered as m, changing in ft does	
Volume				142 m ³	Volume, calculated. (Can also enter directly, then changing dim	
Pressure	0.95 atm				Used only for CO ₂ calculation	
Temperature	20 C				Use web converter if needed for F → C. Used for CO ₂ calculi	
Relative Humidity	50 %				Not yet used, but may eventually be used for survival rate of vir	
Background CO ₂ Outdoors	415 ppm				See readme	
Duration of event	50 min			0.8 h	Value for your situation of interest	
Number of repetitions of event	180 times				For e.g. multiple class meetings, multiple commutes in public tr	

- Tutorials in English & Spanish: <https://www.youtube.com/channel/UChUCsAMXy8f01R3rWqj4z6A>
- Many calculators inspired in this one or derived independently, all consistent to my knowledge

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Courtesy Jose L. Jimenez



Aerosol Science & Indoor Air Researchers

<http://tinyurl.com/preguntas-espanol>

<https://tinyurl.com/FAQ-aerosols>

FAQs on Protecting Yourself from COVID-19 Aerosol Transmission

Shortcut to this page: <https://tinyurl.com/FAQ-aerosols>

Version: 1.65, 15-Sep-2020

If you want to jump over other details and go straight to the recommendations, [click here](#).

[0. Questions about these FAQs](#)

[0.1. What is the goal of these FAQs?](#)

[0.2. Who has written these FAQs?](#)

[0.3. I found a mistake, or would like something to be added or clarified, can you do that?](#)

[0.4. Are these FAQs available in other languages?](#)

[0.5. Can I use the information here in other publications etc.?](#)

[1. General questions about COVID-19 transmission](#)

[1.1. How can I get COVID-19?](#)

[1.2. What is the relative importance of the routes of transmission?](#)

[1.3. But if COVID-19 was transmitted through aerosols, wouldn't it be highly transmissible](#)

